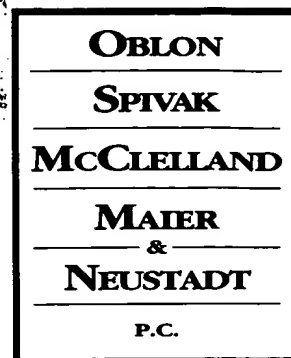




ATTN: APPLICATION BRANCH

**THIS IS A RESPONSE TO A  
NOTICE TO FILE MISSING  
PARTS OF AN APPLICATION**



ATTORNEYS AT LAW

MARVIN J. SPIVAK  
(703) 413-3000  
MSPIVAK@OBLON.COM

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Inventor: Naoki KUWATA, et al.

Serial No: 09/941,711

Filed: August 30, 2001

For: APPARATUS, METHOD, SIGNAL AND COMPUTER PROGRAM  
PRODUCT CONFIGURED TO PROVIDE OUTPUT IMAGE  
ADJUSTMENT OF AN IMAGE FILE

SIR:

Attached hereto for filing are the following papers:

Notice to File Missing Parts  
Filing of Declaration/Declaration  
Information Disclosure Statement  
PTO Form 1449  
Cited References (2)  
Preliminary Amendment  
Request for Priority  
Priority Documents (4)

Our check in the amount of \$0.00 is attached covering any required fees. In the event that any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Marvin J. Spivak

Registration No. 24,913

Docket No. 211552US2



22850

Tel. (703) 413-3000  
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(OSMMN 10/98)

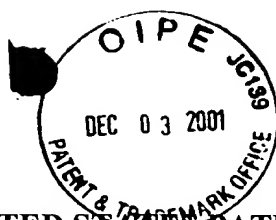
1755 JEFFERSON DAVIS HIGHWAY ■ FOURTH FLOOR ■ ARLINGTON, VIRGINIA 22202 ■ U.S.A.  
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Registration No. 40,073

Docket No.

211552US2



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Naoki KUWATA, et al.

SERIAL NUMBER: 09/941,711

ATTN: APPLICATION BRANCH

FILING DATE: August 30, 2001

FOR: APPARATUS, METHOD, SIGNAL AND COMPUTER PROGRAM PRODUCT CONFIGURED TO PROVIDE OUTPUT IMAGE ADJUSTMENT OF AN IMAGE FILE

**FILING OF DECLARATION UNDER 37 CFR 1.53(f)**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated October 01, 2001, and in accordance with the provisions of 37 CFR 1.53(f), Applicants submit herewith a Rule 63 Declaration.

The required fee was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Marvin J. Spivak

Attorney of Record

Registration No. 24,913

**Joseph A. Scafetta, Jr.**  
**Registration No. 26,803**



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(OSMMN 10/98)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/941,711	08/30/2001	Naoki Kuwata	211552US2

CONFIRMATION NO. 6245

22850  
OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VA 22202

## FORMALITIES LETTER



\*OC000000006820501\*

Date Mailed: 10/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY OF PAPERS  
ORIGINALLY FILED

Page 1 of 2

#2

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/941,711	08/30/2001	2624	2904	-211662US2-	10	68	18

MIPFP005

CONFIRMATION NO. 6245

2850  
OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VA 22202

FILING RECEIPT



\*OC000000006820500\*

Date Mailed: 10/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Naoki Kuwata, Nagano-ken, JAPAN;  
Yoshihiro Nakami, Nagano-ken, JAPAN;  
Isao Edatsune, Nagano-ken, JAPAN;

Assignment For Published Patent Application

Seiko Epson Corporation, ~~Shinjuku-ku, JAPAN~~  
Tokyo, JAPAN

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-265794 09/01/2000  
JAPAN 2001-34518 02/09/2001  
JAPAN 2001-74696 03/15/2001  
JAPAN 2001-217878 07/18/2001

If Required, Foreign Filing License Granted 09/29/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

RECEIVED

JUL 22 2002

Technology Center 2600



COPY OF PAPERS  
ORIGINALLY FILED

Receipt

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Naoki KUWATA et al.

Application No. 09/941,711

Filed: August 30, 2001

For: APPARATUS, METHOD, SIGNAL AND  
COMPUTER PROGRAM PRODUCT  
CONFIGURED TO PROVIDE OUTPUT  
IMAGE ADJUSTMENT OF  
AN IMAGE FILE

Group Art Unit: 2624

Examiner: Not Assigned

Docket No: MIPFP005

Date: May 22, 2002

RECEIVED

JUL 22 2002

Technology Center 2600

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on May 22, 2002.

Signed:

Diane Schwanbeck

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

Sir:

Applicants respectfully request issuance of a new Filing Receipt for the above-identified patent application that reflects a change of attorney docket number and correction of the assignee's address. A copy of the Filing Receipt with the requested changes noted thereon is attached.

If any fees are required, then please charge such fees to Deposit Account No. 50-0805 (Order No. MIPFP005).

Respectfully submitted,  
MARTINE & PENILLA, LLP

Peter B. Martine  
Reg. No. 32,043

710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
Telephone (408) 749-6900  
Customer No. 25920  
Attorney Docket No. MIPFP005

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Naoki KUWATA, et al.

GAU:

SERIAL NO: 09/941,711

EXAMINER:

FILED: August 30, 2001

FOR: APPARATUS, METHOD, SIGNAL AND COMPUTER PROGRAM PRODUCT CONFIGURED TO PROVIDE OUTPUT IMAGE ADJUSTMENT OF AN IMAGE FILE



REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

- ☐ Full benefit of the filing date of U.S. Application Serial Number [US App No], filed [US App Dt], is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	2000-265794	September 1, 2000
JAPAN	2001-034518	February 9, 2001
JAPAN	2001-074696	March 15, 2001
JAPAN	2001-217878	July 18, 2001

Certified copies of the corresponding Convention Application(s)

- ☒ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☐ were filed in prior application Serial No. filed
- ☐ were submitted to the International Bureau in PCT Application Number .  
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and  
(B) Application Serial No.(s)
  - ☐ are submitted herewith
  - ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Marvin J. Spivak

Registration No. 24,913

Joseph A. Scafetta, Jr.  
Registration No. 26,803



22850